

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 8	0 5	0 1

 to

YYYY	MM	DD
2 0 1 8	1 2	3 0

 Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

 Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

Barton

Given Name(s)

David James

Office for which the candidate sought election

Mayor

Ward name or no. (if any)

All Wards

Municipality

Township of Uxbridge

Spending Limit - General

\$ 21,583.65

Spending Limit - Parties and Other Expressions of Appreciation

\$ 2158.37

 I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, David Barton, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

2019/03/28

Date (yyyy/mm/dd)

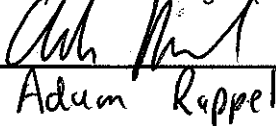
Date Filed (yyyy/mm/dd)

2019/03/28

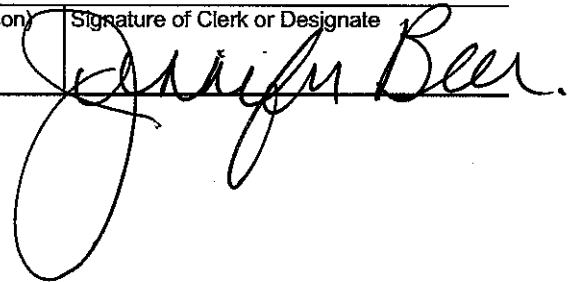
Time Filed

2:10pm

Initial of Candidate or Agent (if filed in person)


 Adam Kappel

Signature of Clerk or Designate



Box C: Statement of Campaign Income and Expenses**LOAN**Name of bank or recognized lending institution NA

Amount borrowed \$ _____

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	<u>21,494.80</u>
Revenue from items \$25 or less	+ \$	<u>20.00</u>
Sign deposit refund	+ \$	<u>120.00</u>
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	_____
Interest earned by campaign bank account	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Campaign Income (Do not include loan)	= \$	<u>21,634.80</u> c1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	_____
Advertising	+ \$	<u>10,120.85</u>
Brochures/flyers	+ \$	<u>2,174.85</u>
Signs (including sign deposit)	+ \$	<u>4,142.00</u>
Meetings hosted	+ \$	<u>388.48</u>
Office expenses incurred until voting day	+ \$	<u>186.99</u>
Phone and/or internet expenses incurred until voting day	+ \$	<u>209.87</u>
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	_____
Bank charges incurred until voting day	+ \$	<u>137.49</u>
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. <u>Vehicle costs</u>	+ \$	<u>245.00</u>
2. <u>Postage</u>	+ \$	<u>1,881.86</u>
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to general spending limit	= \$	<u>19,487.39</u> c2

EXPENSES**Expenses subject to spending limit for parties and other expressions of appreciation**

1. <u>Advertising</u>	+ \$	<u>386.46</u>
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	<u>386.46</u> c3

Expenses not subject to spending limits

Accounting and audit	+ \$	1,750.00
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	
Office expenses incurred after voting day	+ \$	
Phone and/or internet expenses incurred after voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	
Bank charges incurred after voting day	+ \$	10.95
Interest charged on loan after voting day	+ \$	
Expenses related to recount	+ \$	
Expenses related to controverted election	+ \$	
Expenses related to compliance audit	+ \$	
Expenses related to candidate's disability (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
Other (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
Total Expenses not subject to spending limits	= \$	1,760.95 C4

Total Campaign Expenses (C2 + C3 + C4) = \$ 21,634.80 C

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 - C5)	+ \$	0.00 D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$	D2
Total (D1 - D2)	= \$	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	
Surplus (or deficit) for the campaign	= \$	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I - Summary of Contributions

Contributions in money from candidate and spouse	+ \$ 8,944.80
Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4)	+ \$
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ 70.00
Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ 12,500.00
Less: Contributions returned or payable to the contributor	- \$
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$
Total Amount of Contributions (record under income in Box C)	= \$ 21,514.80 1A

Part II - Contributions exceeding \$100 per contributor - individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
Adam Ruppel	25 Dominion St, Uxbridge, L9P 1T5	2018/08/25	1,200.00	
Martin Koolhaas	20 Sandy Hook Rd, Uxbridge L9P1R8	2018/08/08	500.00	
George Pratt	29 First Avenue, Uxbridge, L9P 1M4	2018/09/17	250.00	
Steven Proctor	39 A Sandy Hook Rd, Uxbridge L9P 1X2	2018/09/17	300.00	
Michael Tucker	77 Dunfield Ave, 1802, Toronto, Ont M4S 2H3	2018/09/21	500.00	
Jerrold Gaetz	64 Joseph St, Uxbridge, L9P 1Z3	2018/09/20	500.00	
Tom Fowle	22 Galloway Cres, Uxbridge, L9P 1W8	2018/09/15	400.00	
Ryan McCutcheon	186 Snowdon Avenue, Toronto, Ontario, M4N 2B2	2018/09/30	500.00	
Dennis Milenov	54 Campbell Drive, Uxbridge, L9P 0B4	2018/10/01	1,200.00	
<input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment		Total	5,350.00	

Name	Address	Date	Amount
Ahmed Aslam	60 Campbell Drive, Uxbridge, L9P 0B4	9/22/2018	500
Roy Megarry	6 Sugarbush Lane, Uxbridge, L9P 2A1	10/5/2018	600
Frank Chown	191 Main St South, Uxbridge, L9P 1N1	9/29/2018	500
Rob Barlow	12 Rodine Egan Way, Uxbridge, L9P 0C3	10/10/2018	200
Bruce McCullen	29 Turner Drive, Uxbridge, L9P 1V4	10/1/2018	250
Greg Hann	24 Foxfire Chase, Uxbridge, L9P 1R4	10/2/2018	200
Patrick Barry	456 Feasby Rd, Uxbridge	10/9/2018	250
Doug Moffatt	35 Mill Street, Uxbridge	10/4/2018	100
Jane Buckles	2300 7th Concession, Uxbridge, L9P1R1	8/27/2018	600
Brian Buckles	2300 7th Concession, Uxbridge, L9P1R1	8/27/2018	600
Ron Hunter	175 King Street W, Uxbridge	8/29/2018	200
John McCutcheon	201 A-1 Don Woods Drive, Toronto, M4N2E9	9/18/2018	1200
Larry Barton	RR 1 Brechin, Ontario, L0K 1B0,	9/4/2018	500
Karen Mocherniak	18 Galloway Cres, Uxbridge	9/4/2018	250
Elizabeth Calvin	2789 Concession Rd 7, Uxbridge, L9P1R1	10/4/2018	100
Ken Findlay	51 Planks Ln, Uxbridge	10/4/2018	100
Wynn Walters	4289 Concession Rd 6, Uxbridge, L9P1R1	9/12/2018	250
John Fisher	1720 Concession Rd 7, Uxbridge, L9P1R1	9/26/2018	250
Michie T Garland	188 Water Street, Uxbridge	10/4/2018	100
John Milne	8700 Concession Rd 2, Uxbridge	10/4/2018	100
Wayne Barton	15 Willis Crt, Uxbridge	10/6/2018	200
Alan Wells	810 Ganton Road, Uxbridge	9/13/2018	100
			7150

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				Total

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) **\$ 5,350.00**

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		Total

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	Total			

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	\$	2A	
Number of tickets sold	X	2B	
Total Part I (2A X 2B) (include in Part 1 of Schedule 1)			= \$ _____

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+	\$ _____	
2. _____	+	\$ _____	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
Total Part II (include in Part 1 of Schedule 1)			= \$ _____

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____	+	\$ _____	
2. _____	+	\$ _____	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
Total Part III (include under Income in Box C)			= \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1. _____	+	\$ _____	
2. _____	+	\$ _____	
3. _____	+	\$ _____	
4. _____	+	\$ _____	
5. _____	+	\$ _____	
6. _____	+	\$ _____	
7. _____	+	\$ _____	
8. _____	+	\$ _____	
Total Part IV Expenses (include under Expenses in Box C)			= \$ _____

Auditor's Report*Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA, CA, LPA

Municipality

Uxbridge

Date (yyyy/mm/dd)

2019/03/29

Contact Information

Last Name or Single Name

McGhee

Given Name(s)

Janet Lynne

Licence Number

3-30772

Address

Suite/Unit No.

Street No.

1595

Street Name

Highway 7A

Municipality

Port Perry

Province

Ontario

Postal Code

L9L 1B5

Telephone No. (including area code)

905 985-0006

Email Address

janet@janetmcghee.ca

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

INDEPENDENT AUDITOR'S REPORT

To the Deputy Clerk of the Township of Uxbridge

I have audited the accompanying Financial Statements - Auditor's Report Form 4 "Financial Statement" of David James Barton ("candidate"), which comprise the Statement of Campaign Income and Expenses and the Calculation of Surplus and Deficit from May 1, 2018 to December 31, 2018, and other explanatory information. The Financial Statement has been prepared by the candidate based on section 78 of the Municipal Elections Act, 1996.

Candidate's Responsibility for the Financial Statement

The candidate is responsible for the preparation and fair presentation of the Financial Statement in accordance with Section 78 of the Municipal Elections Act, 1996, and for such internal control as the candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

My responsibility is to express an opinion on this Financial Statement based on my audit. I conducted my audit in accordance with Canadian generally accepted auditing standards. Those standards require that I comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the Financial statement. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the Financial Statement, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the Financial Statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of the Financial Statement.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my qualified audit opinion.

(continues)

JANETMcGHEE

Chartered Professional Accountant

Independent Auditor's Report to the Deputy Clerk of the Township of Uxbridge (continued)

Basis for Qualified Opinion

Due to the nature of the types of transactions inherent in an election campaign, the completeness of donations of goods and services and receipts and disbursement is not susceptible to satisfactory audit verification. Accordingly, my verification of these transactions was limited to the amounts recorded in the accounting records of the candidate, and I was not able to determine whether any adjustments might be necessary to income and expenses, and surplus or deficit.

Qualified Opinion

In my opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, the Financial Statement of the candidate for the period May 1, 2018 to December 31, 2018 are prepared, in all material respects, in accordance with Section 78 of the Municipal Elections Act, 1996.

Other Matter

The Financial Statement is prepared to assist the candidate to meet the requirements of Section 78 of the Municipal Elections Act, 1996. As a result, the Financial Statement may not be suitable for another purpose. My report is intended solely for the candidate and the Ministry of Municipal Affairs and should not be distributed to or used by parties other than the candidate and the Ministry of Municipal Affairs.

Port Perry, Ontario
March 29, 2019



CHARTERED PROFESSIONAL ACCOUNTANT
Authorized to practise public accounting by
the Chartered Professional Accountants of Ontario

